

# News Release

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## **CHARLES SCHWAB INVESTMENT MANAGEMENT RECEIVES THREE LIPPER FUND AWARDS FOR FUNDAMENTAL INDEX MUTUAL FUNDS**

**SAN FRANCISCO, March 8, 2019** – Charles Schwab Investment Management, Inc. (CSIM), an asset management arm of The Charles Schwab Corporation, announced today that it has received three 2019 Lipper Fund Awards for outstanding fund performance. For more than three decades, the Lipper awards have highlighted funds and fund management companies that excel in delivering consistently strong, risk-adjusted performance relative to their peers.

Each of the three Schwab Funds recognized this year employ Fundamental Index<sup>®</sup> strategies. Unlike traditional index strategies that typically weight companies based on market capitalization, Fundamental Index strategies use objective financial measures based on company size.

The following Schwab Funds received awards at a ceremony held last night in New York:

- [Schwab Fundamental US Large Company Index Fund \(SFLNX\)](#) was named best-in-class among 88 large-cap value funds for the ten-year period ending November 30, 2018.
- [Schwab Fundamental International Small Company Index Fund \(SFILX\)](#) was named best-in-class among ten international small/mid-cap core funds for the ten-year period ending November 30, 2018.
- [Schwab Fundamental Global Real Estate Index Fund \(SFREX\)](#) was named best-in-class among 41 global real estate funds for the three-year period ending November 30, 2018.

A pioneer in offering Fundamental Index strategies to investors, CSIM was the first asset manager to launch Fundamental Index mutual funds and currently offers six Fundamental Index mutual funds and six Fundamental Index ETFs.

“We are very proud of our funds’ remarkable track record and are honored by Lipper’s recognition of our Fundamental Index funds,” said Jonathan de St. Paer, president of CSIM. “Fundamental Index strategies provide investors with access to an innovative indexing approach that offers the potential for more attractive risk-adjusted returns across market cycles. In an increasingly complex investing landscape, these funds combine a heritage of robust research, and a straightforward, transparent design. We believe the funds can serve as complements to traditional market-cap weighted index and actively managed strategies.”

In 2018, Schwab’s Fundamental Index products reached \$22.9 billion in assets under management.

## About The Lipper Fund Awards

For more than three decades and in over 20 countries worldwide, the Lipper Fund Awards have honored funds and fund management firms that have excelled in providing consistently strong risk-adjusted performance relative to their peers.

Renowned fund data and proprietary methodology is the foundation of the Award qualification. Individual classifications of three-, five-, and ten-year periods, as well as fund families with high average scores for the three-year period are recognized.

The Lipper Fund Awards, granted annually, highlight funds and fund companies that have excelled in delivering consistently strong risk-adjusted performance relative to their peers. The Lipper Fund Awards are based on the Lipper Leader for Consistent Return rating, which is a risk-adjusted performance measure calculated over 36, 60 and 120 months. The fund with the highest Lipper Leader for Consistent Return (Effective Return) value in each eligible classification wins the Lipper Fund Award. For more information, see [lipperfundawards.com](http://lipperfundawards.com).

## About Refinitiv

[Refinitiv](#) – formerly the Financial and Risk business of Thomson Reuters – is one of the world's largest providers of financial markets data and infrastructure. It provides leading data and insights, trading platforms, and open data and technology platforms that connect a thriving global financial markets community – driving performance in trading, investment, wealth management, regulatory compliance, market data management, enterprise risk and fighting financial crime.

## About Charles Schwab Investment Management

Founded in 1989, Charles Schwab Investment Management, Inc., a subsidiary of The Charles Schwab Corporation, is one of the nation's largest asset management companies, with more than \$360 billion in assets under management as of 12/31/18.

More information is available at [schwabfunds.com](http://schwabfunds.com).

## About Schwab

At Schwab we believe in the power of investing to help individuals create a better tomorrow. We have a history of challenging the status quo in our industry, innovating in ways that benefit investors and the advisors and employers who serve them, and championing our clients' goals with passion and integrity.

More information is available at [www.aboutschwab.com](http://www.aboutschwab.com). Follow us on [Twitter](#), [Facebook](#), [YouTube](#) and [LinkedIn](#).

## DISCLOSURES:

**Investors should consider carefully information contained in the prospectus, or if available, the summary prospectus, including investment objectives, risks, charges, and expenses. You can view and download a prospectus by visiting [schwabfunds.com/prospectus](http://schwabfunds.com/prospectus). Please read the prospectus carefully before investing.**

**Past performance cannot guarantee future results.**

**Investment value will fluctuate, and shares, when sold or redeemed, may be worth more or less than their original cost.**

Lipper Leaders fund ratings do not constitute and are not intended to constitute investment advice or an offer to sell or the solicitation of an offer to buy any security of any entity in any jurisdiction. As a result, you should not make an investment decision on the basis of this information. Rather, you should use the Lipper ratings for informational purposes only. Lipper is not responsible for the accuracy, reliability or completeness of the information that you obtain from Lipper. In addition, Lipper will not be liable for any loss or damage resulting from information obtained from Lipper or any of its affiliates.

Distinction does not imply a guarantee of future results. Distinction does not necessarily imply that the fund had the best performance within its category. There are numerous Lipper Leaders in every category.

International investments involve additional risks, which include differences in financial accounting standards, currency fluctuations, geopolitical risk, foreign taxes and regulations, and the potential for illiquid markets. Investing in emerging markets may accentuate these risks.

Small cap funds are subject to greater volatility than those in other asset categories.

Sector funds are not typically diversified and focuses its investments on companies involved in a specific sector. The fund may involve a greater degree of risk than an investment in other funds with greater diversification.

Charles Schwab Investment Management, Inc. (CSIM) is the investment advisor for Schwab Funds and Schwab ETFs. Schwab Funds are distributed by Charles Schwab & Co., Inc. (Schwab), Member [SIPC](#). Schwab ETFs are distributed by SEI Investments Distribution Co. (SIDCO). CSIM and Schwab, are separate but affiliated companies and subsidiaries of The Charles Schwab Corporation, and are not affiliated with SIDCO or Refinitiv.

Fundamental Index is a registered trademark of Research Affiliates, LLC.

Lipper at Refinitiv is a nationally recognized organization that ranks the performance of mutual funds within a universe of funds that have similar investment objectives. The fund award rankings are historical and are based on risk-adjusted total return with capital gains and dividends reinvested.

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